# After RACH, CORESET and Search Spaces: sketching out the details

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# Summary

- 1. UE procedures
  - a. RACH and after
- 2. Search Spaces
  - a. Introduction
  - b. Obtaining search space information
- 3. CORESET
  - a. Introduction
  - b. Obtaining CORESET information
- 4. Sources

#### Procedures

The procedures employed by the UE to camp on a cell and obtain data are described the diagram.

Synchronization Signals

System Information

Basic information for all UEs

Random Access Channel

Random Access Response & System Information

Required only for UEs after random access

Data and control channels

RRC\_CONNECTED, [4.d] and [4.e] provide detailed accounts of the following procedures.

MIB, CORESET 0

information

Sequence of steps between UE and BS. [4.a]

#### **Connecting to the Network**

- UE in RRC\_IDLE
- Msg1: Preamble transmission
- Msg2: Random Access Response
- Msg3: RRCSetupRequest
- Msg4: RRCSetup
- UE in RRC\_CONNECTED

## Next steps [4.d]

- Configure Cell group using **CellGroupConfig**
- Configure radio bearers using *RadioBearerConfig* 
  - $\circ$   $\,$  These assist in the transmission of RRC messages  $\,$
- UE transmits **RRCSetupComplete** message

### Next steps [4.d]

- A series of messages between 5GC and 5G NodeB necessitate the authentication of UE identity.
- The UE receives and responds to a NAS Identity Request from 5GC using IMEISV which allows the network to provide UE with necessary parameters.
- The gNB issues and UE responds to **SecurityModeCommand** which activates AS security.
- The UE receives and responds to *RRCReconfiguration* which configures cell groups, radio bearer, etc.
- Finally, uplink and downlink data transfer begins between UE and 5GC.

### **Search Spaces and CORESETs**

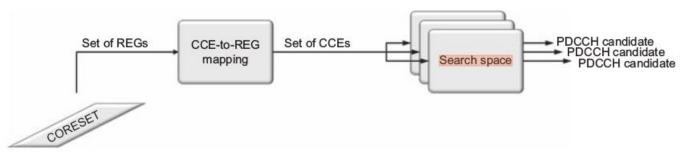
- Information regarding CORESET 0 is obtained during the initial part of the steps described above.
- Remaining CORESET information is provided by the means of higher layer (RRC) signalling using
  - The configuration obtained by the steps described previously
  - $\circ$   $\,$  The IEs described in the subsequent slides  $\,$

38.213 38.331

# **Search Spaces**

#### **Introduction to Search Spaces**

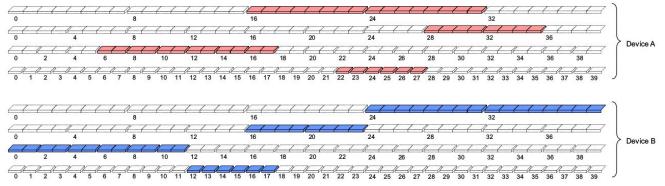
- In NR, downlink control is achieved through PDCCH.
- Payload (actual information) transmitted by PDCCH is called Downlink Control Information (DCI).



PDCCH processing in NR [1.d]

#### **Introduction to Search Spaces**

- UE uses blind decoding to determine DCI format.
- Search space- used to achieve a tradeoff between device complexity and scheduling restrictions.
- Search space is a set of candidate channels formed by CCEs a given aggregation level.



Configuring search spaces [1.d].

#### **Introduction to Search Spaces**

- Two types of search spaces
  - Common Search Space (CSS)
  - UE-specific search space (USS)
- CSS- used for broadcast information and before unique identity is assigned.
- USS- PDCCH candidates where network can address a particular device.

#### **Obtaining search space information**

• Search space information

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- Has multiple use cases (and consequently)
- $\circ$   $\,$  Is given by multiple IEs  $\,$
- Different RNTIs are used for scrambling in different cases.

RNTI	Prefix expansion	Description
SI-RNTI	System Information	Used to decode PDSCH scheduling information from PDCCH
RA-RNTI	Random access	Used (maybe by multiple UEs) to monitor PDCCH during RA process
TC-RNTI	Temporary cell	Used to monitor PDCCH in <i>contention</i> based RA procedure
P-RNTI	Paging	Used to receive paging information
INT-RNTI	Interruption	Used to make the UE ignore a PDSCH transmission to meet URLLC needs
SFI-RNTI	Slot format information	Used to determine the structure of information transmitted in a slot
TPC- PUCCH-RNTI	Transmit power control	Used for uplink power control
TPC-SRS-RNTI	TPC-sounding reference signal	Used for uplink power control of UEs with independent control (among others)
C-RNTI	Cell	Unique identification given to a UE to identify allocate resources
MCS-C-RNTI	Modulation coding scheme-cell	Used to indicate alternate MCS table to meet reliability needs
CS-RSNTI	Configured scheduling	Unique to UE and used for scheduling in uplink and downlink

#### RNTIs used for scrambling CRC of DCI [2.b], [2.c]

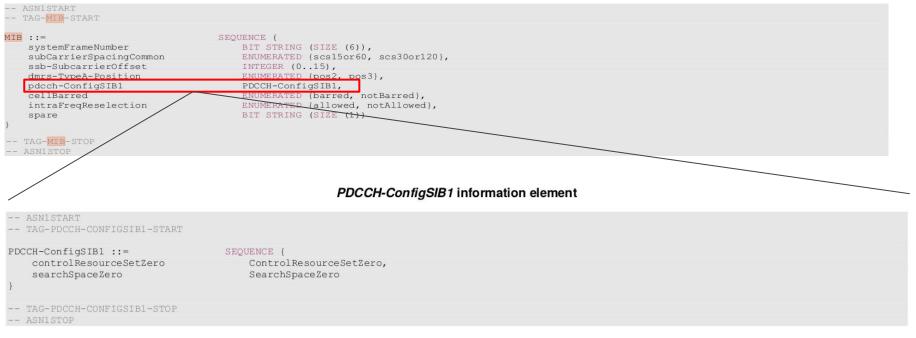
### **Obtaining search space information**

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CSS type	RNTI	Usage
Type0-PDCCH	SI-RNTI	SIB decoding
Type0A-PDCCH	SI-RNTI	SIB decoding
Type1-PDCCH	RA-RNTI or TC-RNTI	Msg2, Msg4 decoding in RACH
Type2-PDCCH	P-RNTI	Paging Decoding
Type3-PDCCH	INT-RNTI, SFI-RNTI, TPC-PUSCH-RNTI, TPC-PUCCH-RNTI, TPC-SRS-RNTI, C-RNTI, CS-RNTI	Scheduling, power control, etc.

Details of CSS types

### **Obtaining TypeO-PDCCH CSS information**



PDCCH-ConfigSIB1 field descriptions
controlResourceSetZero
Determines a common ControlResourceSet (CORESET) with ID #0, see TS 38.213 [13], clause 13.
searchSpaceZero
Determines a common search space with ID #0, see TS 38.213 [13], clause 13.

#### **Or**...

#### PDCCH-ConfigCommon information element

	UENCE (								
controlResourceSetZero	ControlResourceSetZero			OPTIONAL,	(	Cond	InitialBW	P-Only	
<del>commonControlResource</del> Get	ControlResourceSet			OPTIONAL,	1	leed	R		
searchSpaceZero	SearchSpaceZero			OPTIONAL,	(	Cond	InitialBW	P-Only	
commonSearchSpaceLLSH	SEQUENCE (SIZE(14)) OF SearchSpace			OPTIONAL,	1	leed	R		
searchSpaceSIB1	SearchSpaceId			OPTIONAL,		leed			
pagingSearchSpace	SearchSpaceId SearchSpaceId			OPTIONAL, OPTIONAL,		leed leed			
ra-SearchSpace	SearchSpaceId			OPTIONAL,	1	leed			
, [[									
firstPDCCH-MonitoringOccasionOfPO	CHOICE (								
sCS15KHZoneT				(1maxPO-p					
sCS30KHZoneT-SCS15KHZhalfT				(1maxPO-1					
sCS60KHZoneT-SCS30KHZhalfT-SCS1				(1maxPO-1					
	30KHZquarterT-SCS15KHZoneEighthT -SCS30KHZoneEighthT-SCS15KHZoneSixteenthT			(1maxPO-1					
sCS120KHZna111-SCS00KHZquarter1 sCS120KHZquarterT-SCS60KHZoneEi				(1maxPO-					
sCS120KHZquarter1-SCS60KHZONEE1 sCS120KHZoneEighthT-SCS60KHZone				(1maxPO-					
sCS120KHZoneSixteenthT	SIXceenchi			(1maxPO-					
SCSIZOKHZOHESIXCEEHCHI		SEQUENCE	10125	(1maxro-	Jerre,	) OF	OPTIONAL		1 OtherBW
1									

The IE BWP-Downlink also gives the search space information when configuring a new bandwidth part.

#### searchSpaceSIB1

ID of the search space for SIB1 message. In the initial DL BWP of the UE's PCell, the network sets this field to 0. If the field is absent, the UE does not receive SIB1 in this BWP. (see TS 38.213 [13], clause 10)

#### searchSpaceZero

Parameters of the common SearchSpace#0. The values are interpreted like the corresponding bits in *MIB pdcch-ConfigSIB1*. Even though this field is only configured in the initial BWP (BWP#0), *searchSpaceZero* can be used in search spaces configured in other DL BWP(s) than the initial DL BWP if the conditions described in TS 38.213 [13], clause 10, are satisfied.

### **Obtaining TypeOA-PDCCH CSS information**

#### PDCCH-ConfigCommon information element

DCCH-ConfigCommon ::= SEQ	UENCE {									
controlResourceSetZero	ControlResourceSetZero			OPTIONAL,		Cond	InitialBV	VP-Only		
commonControlResourceSet	ControlResourceSet			OPTIONAL,		Need	R			
searchSpaceZero	SearchSpaceZero			OPTIONAL,		Cond	InitialBV	VP-Only		
commonSearchSpaceList	SEQUENCE (SIZE(14)) OF SearchSpace			OPTIONAL,		Need	R			
searchSpaceSIB1	SearchSpaceId			OPTIONAL,		Need				
searchSpaceOtherSystemInformation	SearchSpaceId SearchSpaceId			OPTIONAL, OPTIONAL,		Need Need				
ra-SearchSpace	SearchSpaceId			OPTIONAL,		Need				
, [[ firstPDCCH-MonitoringOccasionOfPO	CHOICE (									
sCS120KHZhalfT-SCS60KHZquarterT	30KHZquarterT-SCS15KHZoneEighthT -SCS30KHZoneEighthT-SCS15KHZoneSixteenthT	SEQUENCE SEQUENCE SEQUENCE SEQUENCE	(SIZE (SIZE (SIZE (SIZE	(1maxPO-p (1maxPO-p (1maxPO-p (1maxPO-p (1maxPO-p	erPF erPF erPF erPF	<ul> <li>)) OF</li> <li>)) OF</li> <li>)) OF</li> <li>)) OF</li> </ul>	INTEGER INTEGER INTEGER INTEGER	(0279), (0559), (01119), (02239),		
sCS120KHZquarterT-SCS60KHZoneEi sCS120KHZoneEighthT-SCS60KHZone sCS120KHZoneSixteenthT		SEQUENCE	(SIZE	(1maxPO-p (1maxPO-p (1maxPO-p	erPF	)) OF	INTEGER	(08959)	,	
							OPTIONAL	/	Cond Ot	therBWP

-- ASNISTOP

searchSpaceOtherSystemInformation ID of the Search space for other system information, i.e. SIB2 and beyond (see TS 38.213 [13], clause 10.1) If the field is absent, the UE does not receive other system information in this BWP.

### **Obtaining Type1-PDCCH CSS information**

#### PDCCH-ConfigCommon information element

- TAG-PDCCH-CONFIGCOMMON-START										
DCCH-ConfigCommon ::= S	EQUENCE (									
controlResourceSetZero	ControlResourceSetZero			OPTIONAL,	1	Cond	InitialBU	VP-Only		
commonControlResourceSet	ControlResourceSet			OPTIONAL,		Need	R			
searchSpaceZero	SearchSpaceZero			OPTIONAL,			InitialB	VP-Only		
commonSearchSpaceList	SEQUENCE (SIZE(14)) OF SearchSpace			OPTIONAL,		Need	R			
searchSpaceSIB1	SearchSpaceId			OPTIONAL,	1	Need	S			
searchSpaceOtherSystemInformation pagingSearchSpace				OPTIONAL,		Need Need				
ra-SearchSpace	SearchSpaceId SearchSpaceId			OPTIONAL, OPTIONAL,	1					
	Searchspaceru			OFIIONAL,		need				
,										
firstPDCCH-MonitoringOccasionOfPO	CHOICE (									
sCS15KHZopeT	CHOICH (	SEQUENCE	(STZE	(1maxPO-)	perPF	)) OF	INTEGER	(0139).		
sCS30KHZoneT-SCS15KHZhalfT				(1maxPO-						
sCS60KHZoneT-SCS30KHZhalfT-SC	S15KHZguarterT			(1maxPO-						
	CS30KHZquarterT-SCS15KHZoneEighthT			(1maxPO-					,	
sCS120KHZhalfT-SCS60KHZquarte	rT-SCS30KHZoneEighthT-SCS15KHZoneSixteenthT	SEQUENCE	(SIZE	(1maxPO-	perPF	)) OF	INTEGER	(02239)		
sCS120KHZquarterT-SCS60KHZone		SEQUENCE	(SIZE	(1maxPO-	perPF	)) OF	INTEGER	(04479).	,	
sCS120KHZoneEighthT-SCS60KHZo	neSixteenthT			(1maxPO-						
sCS120KHZoneSixteenthT		SEQUENCE	(SIZE	(1maxPO-	perPF	)) OF	INTEGER	(017919)	)	
}							OPTIONAL		Cond Othe	rBWP
11										

#### ra-SearchSpace

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ID of the Search space for random access procedure (see TS 38.213 [13], clause 10.1). If the field is absent, the UE does not receive RAR in this BWP. This field is mandatory present in the DL BWP(s) if the conditions described in TS 38.321 [3], subclause 5.15 are met.

### **Obtaining Type2-PDCCH CSS information**

#### PDCCH-ConfigCommon information element

controlResourceSetZero										
CONTROLKESOURCESETZERO	ControlResourceSetZero			OPTIONAL,	Co	nd Ini	tialBWP	-Only		
commonControlResourceSet	ControlResourceSet			OPTIONAL,	Ne	ed R				
searchSpaceZero	SearchSpaceZero			OPTIONAL,	Co	nd Ini	tialBWP	-Only		
commonSearchSpaceList	SEQUENCE (SIZE(14)) OF SearchSpace			OPTIONAL,	Ne	ed R				
searchSpaceSIB1	SearchSpaceId			OPTIONAL,	Ne					
pagingSearchSpace	SearchSpaceId SearchSpaceId			OPTIONAL, OPTIONAL,						
ra-SearchSpace	SearchSpaceId			OPTIONAL,	Ne	ed S				
,										
[[										
firstPDCCH-MonitoringOccasionOfPO	CHOICE (									
sCS15KHZoneT				(1maxPO-p						
sCS30KHZoneT-SCS15KHZhalfT				(1maxPO-p						
sCS60KHZoneT-SCS30KHZhalfT-SCS1				(1maxPO-p						
	S30KHZquarterT-SCS15KHZoneEighthT			(1maxPO-p						
	F-SCS30KHZoneEighthT-SCS15KHZoneSixteenthT									
sCS120KHZquarterT-SCS60KHZoneEi				(1maxPO-p						
sCS120KHZoneEighthT-SCS60KHZone	eSixteenthT			(1maxPO-p						
sCS120KHZoneSixteenthT		SEQUENCE	(SIZE	(1maxPO-p	erPF))					
1						OF	TIONAL		Cond Ot	herBWP
11										

#### pagingSearchSpace

ID of the Search space for paging (see TS 38.213 [13], clause 10.1). If the field is absent, the UE does not receive paging in this BWP (see TS 38.213 [13], clause 10).

### **Obtaining Type3-PDCCH CSS information**

#### searchSpacesToAddModList

List of UE specifically configured Search Spaces. The network configures at most 10 Search Spaces per BWP per cell (including UE-specific and common Search Spaces).

#### PDCCH-Config information element

#### -- ASN1START

-- TAG-PDCCH-CONFIG-START

CH-Config ::= SE controlResourceSetToAddModList	QUENCE (	OPTIONAL, Need N
controlResourceSetToReleaseList	SEQUENCE(SIZE (13)) OF ControlResourceSet SEQUENCE(SIZE (13)) OF ControlResourceSetId	OFTIONAL, Need N
searchSpacesToAddModList	SEQUENCE(SIZE (110)) OF SearchSpace	OPTIONAL, Need N
searchSpacesToReleaseList	SEQUENCE(SIZE (110)) OF SearchSpaceId	OPTIONAL, Need N
downlinkPreemption	SetupRelease { DownlinkPreemption }	OPTIONAL, Need M
tpc-PUSCH	SetupRelease {    PUSCH-TPC-CommandConfig }	OPTIONAL, Need M
tpc-PUCCH	SetupRelease { PUCCH-TPC-CommandConfig }	OPTIONAL, Need M
tpc-SRS	SetupRelease { SRS-TPC-CommandConfig}	OPTIONAL, Need M
TAG-PDCCH-CONFIG-STOP		
ASN1STOP		

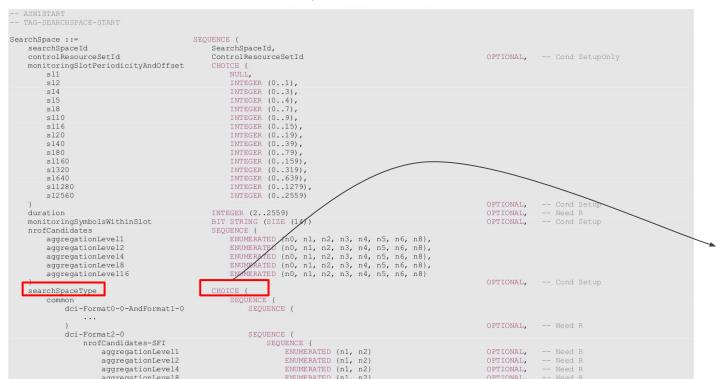
#### **Obtaining Type3-PDCCH CSS information**

SearchSpace information element



### **Obtaining USS information**

SearchSpace information element



- Configured using PDCCH-Config.
- C-RNTI, MCS-C-RNTI, SP-CSI-RNTI and CS-RNTI can be used.

Set to *ue-Specific* in PDCCH-Config IE.

#### UE behaviour

 If the fields searchSpaceSIB1, searchSpaceOtherSystemInformation, ra-SearchSpace, pagingSearchSpace are not provided to the UE, it does not monitor PDCCH for Type0/0A/1/2-PDCCH CSS set on the DL BWP.

### CORESET

#### Introduction to CORESET

- CORESET- stands for Control Resource Set.
- It is a time-frequency resource in which the device tries to decode candidate control channels using one or more search spaces.[1.d]
- Size and location are configured by network via higher layer/RRC signalling.

### **CORESET** and **UE**

- CORESET defined from a UE perspective only. It does not constrain the network (gNB) to transmit control information.
- In a serving cell, a UE may be configured with
  - $\circ$  Up to four bandwidth parts
  - $\circ$  3 CORESETs per BWP
- Therefore, a total of 12 CORESETs can be configured for a UE on a serving cell.

### **CORESET** and **UE**

#### ControlResourceSet information element



- TAG-CONTROLRESOURCESET-START



ControlResourceSetId ::=

INTEGER (0...maxNrofControlResourceSets-1)

-- TAG-CONTROLRESOURCESETID-STOP

-- ASN1STOP

### **CORESET** and **UE**

- Multiplicity and type constraint definitions



- Therefore, CORESETs #(0-11) can be configured for the UE.
- The CORESET can occur at any part of the slot.
- The CORESET can occur anywhere in the frequency range of the carrier.
- The UE handles only those CORESETs which lie in the active bandwidth part.
- CORESET 0 is configured differently from the remaining CORESETs.

### Indicating CORESET parameters to UE

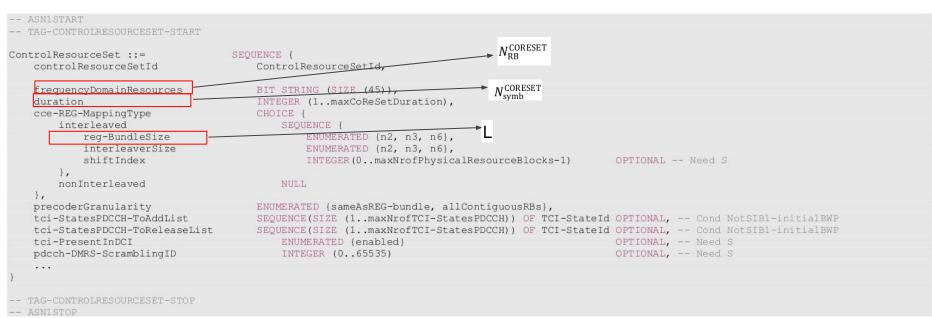
• The CORESETs are configured as REGs in the frequency domain and using 1-3 OFDM symbols in the time domain.

Parameter	Description
$N_{ m RB}^{ m CORESET}$	Number of RBs in frequency domain
N <sup>CORESET</sup> <sub>symb</sub>	Number of symbols in time domain in a CORESET. This can be 1 or 2 or 3, but 3 is possible only when DL-DMRS-typeA-pos = 3
N <sub>REG</sub>	Number of REGs in a CORESET
L	REG Bundle size

#### CORESET Parameters when configured by ControlResourceSet IE

### **Indicating CORESET parameters to UE**

ControlResourceSet information element



Again, these are for CORESETs which are configured by RRC signalling.

### Indicating CORESETs to UE

#### Common CORESETs can be configured via PDCCH-ConfigCommon IE i.e via RRC signalling.

#### PDCCH-ConfigCommon information element

ASN1START TAG-PDCCH-CONFIGCOMMON-START			
IIIG 19001 CONTROOPTION STILL			
PDCCH-ConfigCommon ::=	SEQUENCE (		
controlResourceSetZero	ControlResourceSetZero	OPTIONAL,	- Cond InitialBWP-Only
commonControlResourceSet	ControlResourceSet	OPTIONAL,	- Need R
searchSpaceZero	SearchSpaceZero	OPTIONAL,	- Cond InitialBWP-Only
commonSearchSpaceList	SEQUENCE (SIZE(14)) OF SearchSpace	OPTIONAL,	- Need R
searchSpaceSIB1	SearchSpaceId	OPTIONAL,	- Need S
searchSpaceOtherSystemInformatio	n SearchSpaceId	OPTIONAL,	- Need S

#### PDCCH-ConfigCommon field descriptions

#### commonControlResourceSet

An additional common control resource set which may be configured and used for any common or UE-specific search space. If the network configures this field, it uses a *ControlResourceSetId* pther than 0 for this *ControlResourceSet*. The network configures the *commonControlResourceSet* in *SIB1* so that it is contained in the bandwidth of CORESET#0.

### Indicating CORESETs to UE

#### UE specific CORESETs can be configured via PDCCH-Config IE again via RRC signalling.

PDCCH-Config information element

ASN1START TAG-PDCCH-CONFIG-START		
PDCCH-Config ::= controlResourceSetToAddModList	SEQUENCE {     SEQUENCE(SIZE (13)) OF ControlResourceSet	OPTIONAL, Need N

PDCCH-Config field descriptions

controlResourceSetToAddModList

List of UE specifically configured Control Resource Sets (CORESETs) to be used by the UE. The network configures at most 3 CORESETs per BWP per cell (including UEspecific and common CORESETs). In case network reconfigures control resource set with the same *ControlResourceSetId* as used for *commonControlResourceSet* configured via *PDCCH-ConfigCommon*, the configuration from *PDCCH-Config* always takes precedence and should not be updated by the UE based on *servingCellConfigCommon*.

### Indicating CORESET 0 parameters to UE

• CORESET 0 is configured by ControlResourceSetZero IE.

Parameter	Description
$N_{ m RB}^{ m CORESET}$	Number of RBs in frequency domain. Obtained from TS 38.213 (Tables 13-1 to 13-10).
$N_{ m symb}^{ m CORESET}$	Number of symbols in time domain in a CORESET. This can be 1 or 2 or 3, but 3 is possible only when DL-DMRS-typeA-pos = 3. Obtained from TS 38.213 (Tables 13-1 to 13-10).
L	REG Bundle size

CORESET Parameters when configured by ControlResourceSet IE

ControlResourceSetZero information element

ControlResourceSetZero ::=

INTEGER (0..15)

# Indicating CORESET 0 to UE

- CORESET 0 information is obtained from SS/PBCH transmission.
- In MIB, ssb-SubcarrierOffset gives k<sub>SSB</sub>.
- $k_{SSB} \le 23$  (FR1) and  $k_{SSB} \le 11$  (FR2) indicate the presence of CORESET 0.

#### PDCCH-ConfigSIB1 information element



PDCCH-ConfigSIB1 field descriptions

#### controlResourceSetZero

Determines a common ControlResourceSet (CORESET) with ID #0, see TS 38.213 [13], clause 13.

#### searchSpaceZero

Determines a common search space with ID #0, see TS 38.213 [13], clause 13.

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# Thank you!